



7th
ANNUAL



**ANTI-MONEY LAUNDERING
& COUNTER-FINANCING OF
TERRORISM CONFERENCE**

"KEEPING PACE TO STAY AHEAD"



Presentation Topic:
The Goldilocks Factor – Reporting to Senior Management

Dave L. Garcia
Group General Counsel & Corporate Secretary
NCB Financial Group Limited

Dave Garcia is responsible for providing NCB Financial Group Limited and its subsidiaries with general advice, leadership and direction on all legal, regulatory and corporate secretarial matters. He is charged with overseeing the Group Legal & Compliance Division, guiding the Group's legal strategy in its drive toward growth and expansion in Jamaica and elsewhere in the Caribbean and ensuring the effective management of legal risk. His role also encompasses managing the relationships with external counsel acting on behalf of the NCB enterprise.

A former partner at the law firm Myers, Fletcher & Gordon (MF&G), Mr Garcia joined the NCB Group with experience in civil litigation. His practice included representing clients in connection with banking, employment and industrial relations, corporate and commercial matters. He is Chairman of Youth Reaching Youth, a charitable organization, and former Co-Chairperson of the Jamaica Bankers Association's (JBA's) Compliance Committee. He is Co-Chair of JBA's Public Policy and Legislative Committee and a member of the Jamaica Bar Association's Commercial Law Committee (of which he has previously served as Convenor). Mr Garcia is also a member of the Board of Governors of St Hugh's Preparatory School.

He became an attorney-at-law in 1997 in Jamaica. A few years later, he also qualified to practice in St Kitts & Nevis, Grenada and St Lucia. In 1998, he graduated from Osgoode Hall

Law School, York University, having obtained a Master of Laws Degree for studies in Corporate Law. Before that, he was awarded a Bachelor of Laws Degree (1995) from the University of the West Indies and a Legal Education Certificate (1997) from the Norman Manley Law School. In 2011, he obtained the Certified Anti-Money Laundering Specialist (CAMS) designation, based on a certification programme administered by the Association of Certified Anti-Money Laundering Specialists (ACAMS) involving a period of study and assessment, and taking into account also his training, experience and other studies.

Mr Garcia has been the recipient of several scholarships and awards, including the Jamaica Scholarship awarded at the culmination of his secondary education at Champion College in Kingston, Jamaica. He has been a contributor to legal education in Jamaica, primarily as an Associate Tutor at the Norman Manley Law School. At the Law School, he taught in the final year Civil Procedure & Practice course for eleven years, as Course Director for seven of those years. From 2013 to 2015, he taught in the final year Law of Associations course.