



Presentation Topic: Day 2:

Against the Odds – Survival of High Risk Money Services Business in the Current Environment.

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Aren't we Done Yet? The Future of Compliance

Samuel J. Wilkinson is currently employed with the Securities Commission of The Bahamas.

Prior to joining the Commission, he was the Manager of a Filipino offshore bank and the Manager, Risk & Compliance for a local financial services company with oversight of its Trust, Pensions, Brokerage and External Asset Management (EAM) departments.

Mr. Wilkinson spent 12 years with a Brazilian offshore bank as its Assistant Resident Manager and Compliance Officer where he was responsible for the bank's branch operations, which included trade finance, financing and leasing operations, asset management, global funding, corporate and investment banking, and brokerage services. He was also responsible for the local subsidiary and its private banking and investment activities. His duties included oversight of regulatory mandates, corporate governance, compliance and internal controls, staff training and development, back office supervision, liaising with internal and external auditors, and the London and New York offices, and compliance reporting to the audit committee and the branch and subsidiary Boards.

Before joining the Brazilian bank, Mr. Wilkinson was head of Accounting and Compliance for a Swiss private bank and Compliance Manager for a Canadian private bank. Prior to becoming a Private Banker, he held the position of Financial Controller in the banking, transportation and hotel industries. Samuel began his career at Citibank, N.A. before moving to the Bahamas Customs Department as a Revenue Officer. He also spent seven (7) years with Scotiabank (Bahamas) Limited as a Management Officer with responsibility for consumer, commercial and mortgage lending, recoveries and management and statutory reporting.

Mr. Wilkinson has attended a host of seminars and conferences, which included topics such as anti-money laundering and terrorist financing, brokerage operations, risk management, QI management, financial instruments and derivative products, trust and estate planning, tax planning, capital markets, Basel I, II and III, TIEAs, FATCA and CRS. He is a part-time lecturer who has taught at the University of The Bahamas, St. Benedict/St. John's University (USA), Sojourner/Douglass College (USA), The Bahamas Institute of Financial Services (BIFS), STEP International and the Bahamas Ministry of Tourism and Aviation BahamaHost Program.

Samuel Wilkinson obtained his AA Degree in Accounting from the University of The Bahamas, and his MBA and BBA from the University of Miami. He attended high school at St. John's College, Nassau Bahamas. In addition to being a member of the Society of Trust and Estate Practitioners (STEP) London and a Fellow of the Bahamas Institute of Financial Services (FBIFS), he became a licensed Broker/Dealer and Investment Advisor after successfully completing his Series 7 examinations in the United States. Mr. Wilkinson holds memberships in numerous bodies including the Institute of Financial Accountants (London), The Guild of Industrial, Commercial and Institutional Accountants (Canada), Association of Cost & Executive Accountants (ACEA) (London), International Association of Bookkeepers (IAB) (London), The Bahamas Association of Compliance Officers (BACO), Association of Certified Anti-Money Laundering Specialists (ACAMS), the American Management Association (AMA), the International Compliance Association (ICA) and Justice of the Peace (JP) for the Commonwealth of The Bahamas.

Mr. Wilkinson is married to Melanie Shawn and they have two (2) sons, Samuel, Jr. and Dante Shannon. He enjoys reading, music, travel and sports.

