



Presentation Topic:

- Challenges of Legacy Transaction Monitoring

Sven Stumbauer is an industry recognized financial crimes expert and leads AlixPartners' global Anti-Money Laundering (AML) and Sanctions practice, serving clients globally. Sven's industry experience includes domestic and international financial institutions and multinational corporations, focusing on regulatory compliance, fraud issues, AML and OFAC compliance, and FCPA matters. He has a wealth of experience leading complex, high profile cross-border projects, providing assistance to clients and regulatory bodies in 40+ countries. He has led numerous matters and/ or provided reports to various governmental agencies such as the U.S. DOJ, the SEC, FINRA, Federal Reserve, OCC, FDIC and the New York Department of Financial Services (NYDFS), and foreign government agencies in Mexico, Peru, Ecuador, Colombia and Russia. Sven started his career with the National Association of Securities Dealers' (now FINRA).